

How to Submit Corrected Data to Fix QA Errors

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Introduction

After data submission cycles, the NIMH Data Archive (NDA) performs <u>Quality Assurance and Quality</u> <u>Control (QA/QC) checks</u> across records, ensuring basic information is accurate. If any errors are found in submissions, NDA notifies the Principal Investigator(s) (PI), via email, with a QA errors report containing a summary and detailed QA/QC issues. PIs should correct each error using <u>Detailed QA/QC Issue</u> <u>Resolutions</u>.

The following step-by-step instructions provide guidance on how to submit corrected data to fix identified QA errors.

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Resubmit using the HTML Validation and Upload Tool



1. Navigate to the NIMH Data Archive (NDA) homepage: <u>https://nda.nih.gov/</u>

Figure 1: NIMH Data Archive Homepage

2. Navigate to "Tools" > "Validation & Upload Tool"

Contribute Data	Get Data	Tools	Webinars & T	utorials	About Us	MYACCOUNT
		Tools				
		Data Dictiona	ry			
Data Archive		GUID Tool				
lth Data Archive (NDA) makes av ns. NDA provides infrastructure	vailable human subje for sharing research	Validation & U	Jpload Tool	of research e enabling		
De-identified human subjects dat ble to all.	ta, harmonized to a c	eDSA		o qualified		
entific research and discovery thr	ough data sharing, d	Download Ma	anager (Beta)	ting of		
		Legacy Down	load Manager			
available: Go to <u>NIMH Commor</u>	n Data Elements	Cloud Access				
		API				
	÷	Q				

Figure 2: Tools Menu - Validation & Upload Tool Menu Item

3. Select "Launch the HTML Validation and Upload Tool"



Figure 3: HTML Validation and Upload Tool Link

4. On the HTML Validation and Upload Tool landing page, click the "Fix QA Errors" button.

NDA Data Validation and Upload Tool	?
Validate Data Associate Files Build Package Submit Data	
Welcome to the Validation Tool HTML Client	
Welcome to the NIMH Data Archive (NDA) Validation and Upload Tool. You can use this tool to ensure your data files are harmonized to the <u>NDA Data Dictionary</u> , then upload them to your Collection, or resume an in-progress or interrupted validation/submission. To complete a successful submission you'll need to have your CSV submission files and associated source files (e.g. Imaging, EEG, Omic files) in a working directory, and an NDA account associated with your Collection(s).	
Click below to begin, and contact us with any questions.	
If you have a submission in progress please click Resume Incomplete Submission button to complete the submission; otherwise please click Create New Submission button.	
RESUME INCOMPLETE SUBMISSION CREATE NEW SUBMISSION	
STARTOVER = REQUEST HELP = OPTIONS = FIX QA ERRORS	

Figure 4: HTML Validation and Upload Tool Landing Page

5. If you are not already signed into NDA, sign into your NDA account using your <u>Research Auth Service</u> (<u>RAS</u>) Identity (NIH, eRA Commons, or Login.gov).

Cian In					
Sign in					
	II MIAAA _{DA} AMIF 3CZ	ē			
With your eRA account					
Username	Pass	word	Forgot Passy	vord?	
					jn in
		or			
		or Do you have n	nultiple identities?		
Smart Card/CAC	Login.gov	or Do you have n Linking your ic may save you	nultiple identities? dentities in Settings time and increase		
Smart Card/CAC	Login.gov	or Do you have n Linking your ic may save you your access.	nultiple identities? dentities in Settings time and increase		

Figure 5: NDA RAS Sign-In Page

6. Once logged in, the table displays all the QA errors found in previous data submissions for all NDA Collections you have access to.

Ν	DA Data Validation and Upload Tool	?
Velidete Date	Associate Files Build Package Submit Data	
Filter Please select the submission for which you would like to fix Q	Aerrors	Defaset Defaset
Select _{ID} ID Dataset Title	Dataset Description	Submission Created Modified Status Date Date
3151 23850 HBCC dataset-1	Test Submission 1	Oct 24, Upload 2019, Completed 12:13:00 AM
2373 31644 U54 Human Subjects Data	Test Submission 2	Apr 20, Upload 2020, Completed 12:22:31 PM
2270 35464 fMRI data	Test Submission 3	Jan 19, Upload 2021, Completed11:37:56 AM



7. Select one submission you have corrected data for and click "Submit."

45700 Please sel	ect the submis	- sion for which yc	ou would like to fix QA errors			
Select	Collection ID	Submission ID	Dataset Title	Dataset Description	Submission Status	Dataset Created Dat
	17	45700	Resubmission Regression	Test Submission	Upload Completed	Dec 10, 2021, 11:
					SUBMIT	

Figure 7: Select Submission & Click Submit Button

a. You can also filter the table listings using the Submission ID in your QA errors report.

		Validate Data	Associate Files
(Filter 45700	ould like to fix QA errors	
	Collection Submission Select _{ID} ID ID	Dataset Description	

Figure 8: Filter Submission ID Field

- 8. It's time to revalidate your corrected data files.
 - a. Only resubmit data for the **data structures that have identified QA errors** in the selected submission.
 - Example: Your selected submission contains 3 different data structures. Only 1 of the 3 data structures were identified to contain QA errors in your QA errors report. You should only resubmit data for the 1 data structure that contained QA errors.
 - ii. Refer to your QA errors report to confirm the data structures that have QA errors and need resubmitting.
 - iii. New data should never be submitted until all QA Errors have been resolved.
 - iv. Please note: The tool provides all data structures contained in the selected submission.

NDA	Data Va
Enter QA Submission	
The list below shows currently validated files and their results.	
When you created submission 45700 , it contained the following structures: fmriresults01: 3 Rows	
Resubmission Instructions	
At this time, you can upload corrected data for any of the above data-structures.	You do not hav
Any files for structures needing corrections which you plan to upload at this step process). The data files uploaded at this step will replace the files that were uploa	should contair ded originally.

Figure 9: List of All Data Structures in Selected Submission

b. Select "Choose Files" and choose your corrected data file(s). Alternatively, you can drag and drop your files.

Drop data files or directories he	ere OR		hoose Files	es.		
-----------------------------------	--------	--	-------------	-----	--	--

Figure 10: Choose Files Link

 □ Validate against custom so ③ Open ← → ▼ ↑ ▲ Submissions → 44307 	ope v ඊ උ Sear	A4307 Choose Files Choose Directoria	25
Organize 🔻 New folder		III ▼ III (?)	
Name	Date modified	Type Si	
📌 Qi 	0/22/2021 2.14 DM	Minneth Frend C	
adi_200304 ∰ [∰ >dir + 200401	9/23/2021 2:14 PIVI	Microsoft Excel C	
adir_t_200401	7/15/2021 11:57 AM	Microsoft Excel C	
ados1_201201	7/15/2021 11:37 AM	Microsoft Excel C	
ados3 201201	7/15/2021 11:37 AM	Microsoft Excel C	
[20 adost 201201	7/15/2021 11:37 AM	Microsoft Excel C	
n 🔀 brief p01	7/15/2021 11:37 AM	Microsoft Excel C	FIX OA ERRORS
brief_pk01	7/15/2021 11:37 AM	Microsoft Excel C	
📕 📜 📩 mullen03	7/15/2021 11:37 AM	Microsoft Excel C	
ndar_subject01	7/15/2021 11:37 AM	Microsoft Excel C	
😑 🕻 🕺 vinland301	7/15/2021 11:37 AM	Microsoft Excel C Privacy Policy Contact Us	HHS Vulnerability Disclosure
<mark></mark> \			
👝 Oi		Accessibility FOIA OI	G
📌 Ni 🧹			SA gov
File name: adost_201201	Custom F	es V Cancel	Services Official Cost
A			

Figure 11: Choosing File Dialog

- 9. When you have selected your file(s) for resubmission,
 - a. Make sure there are zero errors. If any errors are found, you should correct and save your data file locally. Then click "Revalidate" to implement your corrections in the tool.
 - i. Alternatively, you can remove the file from the tool. Correct your data, then reupload your corrected data files.

Warnings	Uploaded Rows	
7	3 of 3	Revelidate
		Export All Errors/Warnings

Figure 12: Revalidate Link

b. You can continue with resubmission even if warnings are found.

c. Your corrected data file **must have the exact number of rows** as indicated in the original submission.

fmrir	esults01: 3 Rows	ontained the following structures.					
Resubmiss	ion Instructions						
At this time	, you can upload corrected data	a for any of the above data-structures. Yo	ou do not have to upload files for a data-st	ructure if no data r	needed to be corr	ected for it.	
process). Th	ne data files uploaded at this ste	ep will replace the files that were uploade	ed originally.				
Valida	Do NC	DT just submit the rows that need	ed to be corrected, as this will resu	lt in missing dat	a from your co	ollection.	
□ Valida	Do NC ste against custom scope Drop data files or C directories here	DT just submit the rows that needs R <u>Choose Files</u> <u>Choose Directories</u>	ed to be corrected, as this will resu Selected file status findresults01-small.cave Potential data file o	IIt in missing dat	a from your co	ollection.	
Valida	Do NC tre against custom scope Drop data files or directories here directories here	DT just submit the rows that needs R <u>Choose Files</u> <u>Choose Directories</u> Short Name	ed to be corrected, as this will resu Selected file status fmriresults01-small.csv: Potential data file of Status	Ilt in missing dat tension detected. Errors	a from your co	Uploaded Rows	

Figure 13: Number of Rows in Data Structure and Uploaded Data File

d. Once you have confirmed this, click "Next: Build Package"

	Uploaded Rows	Warnings	Errors
Revalidate	3 of 3	7	0
Export All Errora/Warning			
	NEXT		

Figure 14: Next: Build Package Button

- 10. The information entered in the original submission will display on the Build Package step.
 - a. Check to ensure the information shown is for the submission you intend to correct.
 - b. Once confirmed, click "Build Package."

NIMH Data Archive						NDA ABCD	CCF OAI NIAAADA
		NDA Data	Validation and	Upload Tool			?
	🥥 -	— 🕗 —	- 🥝 —	- 🕥			
	Enter QA Submission	Validate Data	Associate Files	Build Package		Submit Data	
	Your previous submission pace PACKAGE button to proceed	kage details have been automatically retrieve to the next step.	d and cannot be changed. Yo	ur resubmission is ready to	b be packaged. Click	the BUILD	
	COLLECTION	Collection 17: Test Collection 1	•	Refresh Collections			
	TITLE	Resubmission Regression					
	DESCRIPTION	dsafgfhfgfjjljkl;k;l					
						4	
	20						
	File Name	Status		Errors	Warnings	Associated Count	
	fmriresults01-small.csv	Complete with Warr	ings	0	7	-	
	BACK: VALIDATE					BUILD PACKAGE	
						Done monthade	

Figure 15: Build Package Step

- 11. The last step in the tool is the Submit Data step. Verify all the information in the Resubmission Summary is correct.
 - a. If any information needs to be changed, click "Edit".

	RESUBMISSION SUMMARY
COLLECTION	Test Collection 1
TITLE	Resubmission Regression
DESCRIPTION	Test Submission
PACKAGE FILE	Download Package Files
	EDIT

Figure 16: Edit Button

- b. Once the information is correct, confirm your submission does not include any Personally Identifiable Information (PII) by checking the acknowledgment check box.
- c. Click "Submit Data" to submit your corrected data files.



Figure 17: Selecting Acknowledgement Check Box and Submit Data Button

12. At this point, your submission will process.



Figure 18: Submission Processing - Submission in Progress Message

- 13. Once your submission is fully processed, you'll receive a confirmation message informing you your submission was successfully submitted.
 - a. Click Start Over to visit the <u>HTML Validation and Upload Tool</u> landing page where you can create a new submission, resume an incomplete submission, or resubmit data to correct QA errors again.

	NDA Dat	a Validation and	d Upload Tool	
Ø —	_ 🖉 —	_ 🖌 _	— 🕗 —	— 🕗
Enter QA Submission	Validate Data	Associate Files	Build Package	Submit Data
	F	lesubmission Complete		
	Thank you,	your resubmission has been re	eceived.	
Yo	ou should be receiving a confirmation	on email shortly for your recor	ds containing your Submission ID.	
1	Now NDA will perform a variety of discovered, or if there is a technica	QA checks on your reuploaded I issue that arises with your re	d data. If any potential issues are submission, we will be in touch.	
		START OVER		
	START OVER = REQ	UEST HELP = OPTIONS	= FIX QA ERRORS	

Figure 19: Resubmission Complete Confirmation Message

Resubmit using the Python NDA-Tools Command Line

- **NOTE:** Only resubmit corrected data using this resubmission method if the data was *originally* submitted through the <u>Python NDA-Tools Command Line</u>.
- 1. Open the terminal and directory previously used to upload their submission <u>NDATools\settings.cfg</u> file.



Figure 20: Opened Terminal and Location of User's settings.cfg File

2. Review, edit, and confirm your NDA username and password in the NDATools\settings.cfg to confirm you are connected to your NDA account.

settings.cfg •	
C: > Users > reealkoh > .NDATools > 🍄 settings.cfg	
1 [Endpoints]	
<pre>2 user = https://stage.nimhda.org/api/user</pre>	
<pre>3 package = https://stage.nimhda.org/api/package</pre>	
<pre>4 validation = https://stage.nimhda.org//api/validation</pre>	
5 submission_package = https://stage.nimhda.org//api/submission-package	
<pre>6 submission = https://stage.nimhda.org//api/submission</pre>	
<pre>7 validationtool = https://stage.nimhda.org//api/validationtool/v2</pre>	
<pre>8 datadictionary = https://stage.nimhda.org//api/datadictionary/datastructure</pre>	
10 [User]	
11 username = Example Username	
12 access_key =	
13 secret_key =	
14 session_token =	
15 password = Example Password	
16	
17	

Figure 21: Example of a User's settings.cfg File, showcasing Username and Password Locations

a. You must use the username found in your NDA profile and your NDA Tools password.

To set or reset your NDA Tools password, click "Reset Password" in your NDA profile.

Profile	Reset Password ?
This page displays your personal account information. Use the menu you to manage your associated NDA Collections and Studies. Packag management of miNDAR hosted databases. Data Permissions allows	to view your other dashboard pages. Collections and Studies allow es displays download packages you've created and allows you to request access to shared data for research purposes.
Username:	Email Address: (j)
test_001	test@gmail.com
First Names #	
First Name: *	Last Name: *
Test	test

Figure 22: NDA Profile Username Field and Reset Password Button

- b. Do **not** use your RAS identity credentials.
 - i. Your Login.gov, eRA Commons, or NIH credentials cannot be used with NDA-Tools.
- 3. Retrieve the submission ID containing QA errors you are ready to resubmit corrected data from one of the following methods:
 - a. The original submission confirmation message in the Python tool.

Uploads complete. Checking Submission Status.	
You have successfully completed uploading files for submission 52812 with	status: Submitted_Prototype
Figure 23: Example of Submission ID returned by the Python tool	

b. The Submissions tab of your NDA Collection.

General	Experiments (0) Shared D	ata I	Publications	5 (27)	Data Expected (25) Su	bmissions	Associate	d Studies (0)	Permissions
						Q Refin	e results		
Select	Dataset Name 🗘	ID \$	Status 🗧	Date 🗘	Submission Loading Statu	s Date of QA ≎	QA Status •	QA Errors \$	Action
	January 2022 Data Upload	47129	Private	01/14/2022	Upload Completed	08/16/2022	QA Failed	Link to QA errors QA Resubmission FAQ	Action 💌
	Submission	47379	Private	01/14/2022	Upload Completed	08/16/2022	QA Failed	Link to QA errors QA Resubmission FAQ	Action 💌

Figure 24: Submission ID under Submission Tab of NDA Collection

c. Column C in the .csv QA Results Error Report linked in the NDA QA results email notification.

2	А	В	С	
1	ERROR_TYPE	COLLECTION_I	SUBMISSION_ID	SUBMITTED_D
2	MISSING SUBJECTS FOR DATA STRUCTURE PROVIDED	123	52812	L4-JAN-22 04.
3	MISSING SUBJECTS FOR DATA STRUCTURE PROVIDED	123	52812	L4-JAN-22 04.
4	MISSING SUBJECTS FOR DATA STRUCTURE PROVIDED	123	47129	L4-JAN-22 12.
5	MISSING SUBJECTS FOR DATA STRUCTURE PROVIDED	123	47379	L4-JAN-22 12.
6				

Figure 25: Submission in Column C of QA Results Report

4. Validate your corrected data files by entering the following prompt in your terminal:

vtcmd -rs <submissionID> <correctedFiles.cvs> -b

- a. Replace "submissionID" with the submission ID & "correctedFiles.cvs" with the full file name and extension.
- b. Only upload files for the data structure that have need corrections.
- c. Do **NOT** resubmit any associated or manifest files.

C:\Users\alkohlanyrf\PycharmProjects\nda-tools-python\NDATools\clientscripts\vtcmd.py -rs
52812 ndar_subject01.csv -b
Running NDATools Version 0.2.19.dev17
-u/username argument not provided. Using default value of 'alkohlanyrf' which was saved
in C:\Users\alkohlanyrf\.NDATools\settings.cfg
0% 0/1 [00:00 , ?it/s]</td
Validating files
100% ######### 1/1 [00:02<00:00, 2.01s/it]
Detected that all associated files have been previously uploaded from previous submission
Validation report output to: C:\Users\alkohlanyrf\NDA\nda-tools\vtcmd\validation_results \validation_results_20221012T132253.csv
Note: Your data has warnings. To save warnings, run again with -w argument.
Figure 26: Example of Files Validating for Resubmission

- 5. Users will only have **one** chance to resubmit data using this tool. Before resubmitting, make sure:
 - a. All the QA errors are corrected.
 - b. All data structures that need correcting in your submissions are submitted at once.
 - c. All corrected data files have the correct number of rows.

- 6. You will have one last chance to confirm and resubmit with the prompt:
 - a. If your data files need to be corrected at this step due to one of the above, type "N" and press enter. This will cause the script to end.
 - b. If you've confirmed your data files are correct, type "Y" and press Enter.

```
All files have finished validating.

The following files passed validation:

UUID d42ac674-f727-4851-ae4c-8002e5c52377: ndar_subject01.csv

Building Package

Below is a summary of what your submission will look like with the validation files

provided:

Short-Name, Number of Rows

ndar_subject01,1

fmriresults01,40

Are you sure you want to continue? (y/N)g
```

Figure 27: Summary of Resubmission & Prompt to Continue

7. If you've followed the previous steps successfully, you'll receive a successful resubmission confirmation message:

```
Are you sure you want to continue? (y/N)
Package Information:
validation results: ['b9cc4f33-100e-4a23-89c6-a818f6d1f5d2',
 'd42ac674-f727-4851-ae4c-8002e5c52377']
submission_package_uuid: d9f3ea4d-d647-47f1-b2fe-a727e8dc85c7
created date: 2022-10-12T13:23:03.695-04:00
expiration date: 2022-10-13T13:23:03.695-04:00
Package finished building.
Downloading submission package.
A copy of your submission package has been saved to:
C:\Users\alkohlanyrf\NDA\nda-tools\vtcmd\submission_package
\NDASubmission_alkohlanyrf_1665595384635
Requesting submission for package: d9f3ea4d-d647-47f1-b2fe-a727e8dc85c7
Submission ID: 52812
You have successfully replaced submission 52812.
Process finished with exit code 0
```

Figure 28: Successful Resubmission Confirmation Message

- 8. An unauthorized request message will appear if:
 - a. No QA errors were reported for the submissions ID provided, and resubmission was not necessary.
 - i. If you have not received a "QA Results" email notification from NDA requesting resubmission, no further action is required.
 - b. The wrong submission ID was provided.
 - i. Please check if you are using the correct Submission ID at Step 3 and try again.
 - c. The Incorrect NDA credentials are used.
 - i. Please check if you are using the correct Credentials at Step 1. If so, please check if you are connected to the internet and try again.

submission_id 52819 is not authorized to be replaced. Please contact the NDA help desk for approval to replace this submission

Figure 29: Unauthorized Request Message

NOTE: You can only resubmit their corrected data sets **once**. If you attempt to do so again, they will receive the following error:

Submission 52812 was already replaced by ndar_administrator on 2022-09-23T13:57:06.599-04:00. If you need to make further edits to this submission, please reach out the the NDA help desk

Figure 30: Resubmission Error - Submission Already Replaced

If you to edit an existing resubmission, please reach out to the NDA Help Desk at <u>ndahelp@mail.nih.gov</u> with you NDA Collection ID, submission ID and data structures you need to resubmit corrected data for.